

05-07-01

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05/03/01
Jc658 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Jason Dominik Hool, Javier Urena, Fredrick Spears and Osamu Ohno

SERIAL NUMBER: **To be determined**

Express Mail No: EF057798931US

FILED: **To be determined**

FOR: **A DIAGNOSTIC SAMPLE TUBE INCLUDING ANTI-ROTATION APPARATUS**

PATENT

ART UNIT NO.: **To be determined**

EXAMINER: **To be determined**

ATTORNEY DOCKET NO.:

HITA1787

Jc658 U.S. PTO
09/849860
05/03/01

San Jose, California
May 3, 2001

I hereby certify that this New Application Transmittal and those documents referred to as attached hereto are being deposited with the United States Postal Service on this date May 3 2001 in an Express Mail envelope, "Post Office to Addressee," Express Mailing Label Number EF057798931US, addressed to the Box Patent Application, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

MARTHA N. GRIFFIN

Typed or printed name of person mailing paper or fee

Signature of person mailing paper

NEW APPLICATION TRANSMITTAL

Hon. Commissioner of Patents
and Trademarks
Box Patent Application
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventors: **Jason Dominik Hool, Javier Urena, Fredrick Spears and Osamu Ohno**

For: **A DIAGNOSTIC SAMPLE TUBE INCLUDING ANTI-ROTATION APPARATUS**

1. Type of Application

This new application is for a(n) (check one applicable item below):

May 3, 2001

XX Original

___ Design

___ Plant

2. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application**

19 Pages of specification

4 Pages of Claims

1 Page of Abstracts

2 Sheets of drawing

___ formal

x informal

3. **Additional papers enclosed**

___ Preliminary Amendment

___ Information Disclosure Statement (37 CFR 1.98)

___ Form PTO-1449

___ Citations

___ Declaration of Biological Deposit

___ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

___ Authorization of Attorney(s) to Accept and Follow Instructions from Representative

___ Special Comments

___ Other

4. **Declaration or oath**

XX Enclosed
executed by (check **all** applicable boxes)

XX Inventor

___ legal representative of inventor(s). 37 CFR 1.42 or 1.43

___ joint inventor or person showing a proprietary interest on behalf of Inventor who refused to sign or cannot be reached.

___ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See *item 13 below for fee.*

[illegible]

Not enclosed.

____ Showing that the filing is authorized 37 CFR 1.41(d)

 X English

non-English

6. Assignment

XX is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" is also attached.

will follow.

A. X Regular Application

\\PIPG\DATA\CLIENTS\HCD\1787 PAT TRN doc

May 3, 2001

C.	_____	Plant Application (\$460.00-37 CFR 1.16(g))	
		Filing Fee Calculation	\$

____ Verified Statement(s) that this is a filing by a small entity under 37
CFR1.9 and 1.27 is(are) [] attached [] will follow:
Filing Fee Calculation (50% of A, B or C above) \$ -0-

<u> </u>	Not Enclosed	
<u> </u>	No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)	
<u>XX</u>	Enclosed in the form of a check	
<u>X</u>	basic filing fee	\$ 355.00
<u> </u>	recording assignment (\$40.00; 37 CFR 1.21(h))	\$ 40.00
<u> </u>	petition fee for filing by other than all inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))	\$ _____
<u> </u>	for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))	\$ _____
<u> </u>	processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$ _____
<u> </u>	fee for international-type search report (\$40.00; 37 CFR 1.21(e))	\$ _____
	Total Fees Enclosed	\$ 395.00

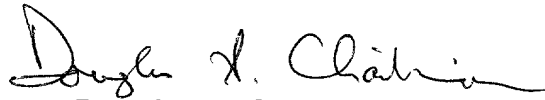
NEW APPLICATION TRANSMITTAL
ATTORNEY DOCKET NO.: HITA1787

May 3, 2001

10. **Instructions As To Overpayment**

x refund overpayment via first class mail to the undersigned.

Peninsula IP Group



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